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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/682,072	10/10/2003	Toshinobu Hamazaki	046601-5121	9494
9629	7590	11/09/2006	EXAMINER	
MORGAN LEWIS & BOCKIUS LLP 1111 PENNSYLVANIA AVENUE NW WASHINGTON, DC 20004			MRUK, GEOFFREY S	
			ART UNIT	PAPER NUMBER
			2853	

DATE MAILED: 11/09/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No.	Applicant(s)	
	10/682,072	HAMAZAKI ET AL.	
	Examiner	Art Unit	
	Geoffrey Mruk	2853	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 05 October 2006.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-11 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

1. Claims 1, 2, 5, and 6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Weber (US 6,113,221) in view of Mitani (US 6,161,924).

With respect to claim 1, Weber discloses an ink-jet recording head (Fig. 1, element 12) comprising:

- a plurality of nozzles (Fig. 1, element 16) for ejecting an ink (Column 5, lines 9-11);
- a plurality of individual flow channels (Fig. 3a, element 22) filled with the ink and connected to the plurality of nozzles (Column 3a, element 16);
- a substrate (Fig. 3a, element 18) constituting a part of an inner wall of the plurality of individual flow channels;
- a thin film resistive element (Fig. 3a, element 28; Column 5, lines 34-44) which is provided on the substrate to be disposed in the vicinity of the plurality of nozzles in the plurality of individual flow channels and has a self-oxide film (Column 5, line 39, i.e. passivation layer) at least on a surface in contact with the ink (Column 4, lines 1-56); and

Art Unit: 2853

- a driving unit (Column 5, lines 45-52, i.e. microprocessor) that generates heat energy for ejecting the ink from the plurality of nozzles with the thin film resistive element by applying electricity to a plurality of electrodes (Fig. 5, element 54; Column 3, line 11 and 24-30) connected to the thin film resistive element,
- wherein the thin film resistive element is coplanar (Column 5, lines 45-52) with at least two of the plurality of electrodes at the surface in contact with the ink;
- the ink filled in the plurality of individual flow channels in the vicinity of the plurality of nozzles being ejected as ink droplets from the plurality of nozzles through expansion of bubbles (Fig. 3a, element 30) formed in the ink with heat energy from the thin film resistive element, and
- the bubbles formed in the plurality of individual flow channels being connected to atmospheric air through the plurality of nozzles (Fig. 3c, element 30; Column 4, lines 39-56)
- wherein the bubbles (Fig. 3c, element 30) are connected to the atmospheric air at substantially the same time as the ejection of the droplets (Fig. 3c, element 32; i.e. vapor bubble vents to atmosphere).

With respect to claim 2, Weber discloses, the driving unit electrifies the thin film resistive element (Fig. 3a, element 28) with a series of driving signals comprising one or plural driving pulses (Column 5, lines 45-51).

With respect to claim 5, Weber discloses the plurality of electrodes (Fig. 5, element 54; Column 3, line 11 and 24-30) for electrifying the thin film resistive element (Fig. 5,

Art Unit: 2853

element 28) is provided on the substrate (Fig. 3a, element 18), and the electrode is covered with the thin film resistive element (Column 5, lines 45-52).

With respect to claim 6, Weber discloses wherein a plane shape of an ink contact surface of the thin film resistive element (Fig. 5, element 28) is a substantially square shape.

However, Weber fails to disclose a Ta-Si-O ternary thin film resistive element.

Mitani discloses an ink jet recording head where the thin film resistor (element 3) is Ta-Si-O (Column 6, lines 49-49).

At the time of the invention, it would have been obvious to one of ordinary skill in the art to use the Ta-Si-O thin film resistor disclosed by Mitani for the heating element (Fig. 3a, element 28) disclosed by Weber. The motivation for doing so would have been "the life of each Ta--Si--O ternary alloy thin-film heater 3 will not be shortened by galvanization. Because the oxidized portion 4 is extremely thin, heat is transferred to the ink 8 equally as well as with the case where the heater 3 is not provided with the oxidized portion 4" (Column 6, lines 55-60).

2. Claims 3 and 4 are rejected under 35 U.S.C. 103(a) as being unpatentable over Weber (US 6,113,221) in view of Mitani et al. (US 6,161,924) as applied to claims 1 and 2 above, and further in view of Bohorquez et al. (US 5,736,995).

With respect to claims 3 and 4, Weber discloses an ink-jet recording head wherein the driving unit electrifies the thin film resistive element (Fig. 3a, element 28) with a series of driving signals (Column 5, lines 45-51).

However, Weber fails to disclose the driving signals comprising:

- a pre-pulse and a main pulse, in which the pre-pulse is for preliminary heating the ink with the thin film resistive element with heat energy of such an extent that no bubble is formed, and the main pulse is for heating the ink with the thin film resistive element to form a bubble in the ink thus preliminary heated, and a number of the pre-pulse is changed depending on a temperature of the substrate;
- time widths of the pre-pulses with which the thin film resistive element is electrified are equalized, and electrification intervals of the pre-pulse and the main pulse constituting the series of driving signals are equalized when the ink in the individual flow channels is ejected by the driving unit as an ink droplet from the nozzles.

Bohorquez discloses:

- a pre-pulse (Fig. 4A, i.e. heating required) and a main pulse (Fig. 4B, i.e. print data), in which the pre-pulse is for preliminary heating the ink with the resistive element (Fig. 1, element 38) with heat energy of such an extent that no bubble is formed (Column 5, lines 40-53), and the main pulse is for heating the ink with the resistive element to form a bubble in the ink thus preliminary heated, and a number of the pre-pulse is changed depending on a temperature of the substrate (Column 6, lines 9-36);
- time widths of the pre-pulses with which the resistive element (Fig. 1, element 38) is electrified are equalized (Fig. 4A; Column 6, lines 9-26) and electrification intervals of the pre-pulse (Fig. 4A, i.e. heating required) and the main pulse (Fig.

4B, i.e. print data) constituting the series of driving signals are equalized when the ink in the individual flow channels is ejected by the driving unit as an ink droplet from the nozzles (Column 5, lines 54-62).

At the time of the invention, it would have been obvious to one of ordinary skill in the art to use the temperature control of thermal inkjet print heads disclosed by Bohorquez in the ink jet recording head of Weber. The motivation for doing so would have been to allow "all elements of the printhead to be used for both printing and warming with minimal additional electronics" (Column 6, lines 14-17).

3. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over Weber (US 6,113,221) in view of Mitani et al. (US 6,161,924) as applied to claims 1 and 6 above, and further in view of Deshpande et al. (US 6,079,819).

With respect to claim 7, Weber discloses the ink-jet recording head (Fig. 1, element 12).

However, Weber fails to disclose a diameter of the nozzle, a nozzle length from an inlet to an outlet of the nozzle, and a distance from the ink contact surface of the thin film resistive element to the inlet of the nozzle are substantially equal to about 1/2 of a length of one edge of the ink contact surface.

Deshpande discloses a diameter of the nozzle (Fig. 4, element 27), a nozzle length from an inlet to an outlet of the nozzle (Fig. 4, element A), and a distance from the ink contact surface of the resistive element to the inlet of the nozzle (Fig. 4, element F) are substantially equal to about 1/2 of a length of one edge of the ink contact surface (Fig. 4, element H; Column 5, lines 55-67; Column 6, lines 1-15).

At the time of the invention, it would have been obvious to one of ordinary skill in the art to use the ink jet printhead having a low cross talk ink channel structure disclosed by Deshpande in the ink jet recording head of Weber. The motivation for doing so would have been to “to provide an ink jet printhead having an ink channel structure which is formed directly on the heater plate, wherein said channel structure minimizes fluidic crosstalk between neighboring channels” (Column 2, lines 24-27).

4. Claim 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over Weber (US 6,113,221) in view of Deshpande et al. (US 6,079,819) as applied to claim 7 above, and further in view of Inui et al. (US 6,203,142 B1).

Weber and Deshpande references disclose all the limitations of the ink-jet recording head except the ink contact surface has an area within a range of from 500 to 1,800  $\mu\text{m}$ .

Inui discloses the ink contact surface has an area within a range of from 500 to 1,800  $\mu\text{m}$  (Column 5, lines 13-31; Table 1, element S1).

At the time of the invention, it would have been obvious to one of ordinary skill in the art to use the teachings of Inui in the ink jet recording head of Weber. The motivation for doing so would have been to provide an ink jet recording head where “If the above conditions are satisfied, quick and efficient bubble expansion and communication ejection, are accomplished, and therefore, the ejected droplet volumes and the ejection speeds are made more uniform. Therefore, the image quality is improved” (Column 3, lines 39-43).

5. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Weber (US 6,113,221) in view of Deshpande et al. (US 6,079,819) as applied to claim 7 above, and further in view of Hirasawa et al. (US 5,988,798).

Weber and Deshpande references disclose all the limitations of the ink-jet recording head except the ink droplet is ejected from the nozzle has a volume of from 2 to 16 pL.

Hirasawa discloses the ink droplet is ejected from the nozzle has a volume of from 2 to 16 pL (Table 2, amount of ejected ink in Design 1 and 2).

At the time of the invention, it would have been obvious to one of ordinary skill in the art to use the teachings of Hirasawa in the ink jet recording head of Weber. The motivation for doing so would have been to "give a good performance of the ejection head" (Column 14, lines 44-45).

6. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Weber (US 6,113,221) in view of Deshpande et al. (US 6,079,819) as applied to claim 7 above, in view of Inui et al. (US 6,203,142 B1) as applied to claim 8 above, and further in view of Prasad et al. (US 6,139,131).

Weber, Deshpande, and Inui references disclose all the limitations of the ink-jet recording head except:

- the plurality of nozzles are arranged in an arranging direction thereof perpendicular to a head moving direction, which is a direction along which the ink-jet recording head is moved upon recording on a recording material and

Art Unit: 2853

- a pitch of the plurality of nozzles along the arranging direction is a length corresponding to a resolution of from 800 to 1,600 dpi.

Prasad discloses:

- the plurality of nozzles (Fig. 7a, elements 703, 705, 707) are arranged in an arranging direction thereof perpendicular to a head moving direction, which is a direction along which the ink-jet recording head (Fig. 2, element 109; Column 5, line 60, i.e. print heads are included in the carriage) is moved upon recording on a recording materials (Fig.2, element 105); and
- a pitch of the plurality of nozzles along the arranging direction is a length corresponding to a resolution of from 800 to 1,600 dpi (Column 9, lines 54-59).

At the time of the invention, it would have been obvious to one of ordinary skill in the art to use the teachings of Hirasawa in the ink jet recording head of Weber. The motivation for doing so would have been to enable "compact printheads with high-density drop generators and high printing throughput but without excessive heat generation within the printhead" (Column 3, lines 60-64).

7. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Weber (US 6,113,221) in view of Mitani et al. (US 6,161,924) and further in view of Prasad et al. (US 6,139,131).

With respect to claim 11, the primary reference of Weber discloses an ink-jet recording apparatus (Column 1, lines 13-16) comprising:

- an ink-jet recording head (Fig. 1, element 12) comprising:

- a plurality of nozzles (Fig. 1, element 16) for ejecting an ink (Column 5, lines 9-11);
- a plurality of individual flow channels (Fig. 3a, element 22) filled with the ink and connected to the plurality of nozzles (Column 3a, element 16);
- a substrate (Fig. 3a, element 18) constituting a part of an inner wall of the plurality of individual flow channels;
- a thin film resistive element (Fig. 3a, element 28; Column 5, lines 34-44) which is provided on the substrate to be disposed in the vicinity of the plurality of nozzles in the plurality of individual flow channels and has a self-oxide film (Column 5, line 39, i.e. passivation layer) at least on a surface in contact with the ink (Column 4, lines 1-56); and
- a driving unit (Column 5, lines 45-52, i.e. microprocessor) that generates heat energy for ejecting the ink from the plurality of nozzles with the thin film resistive element by applying electricity to a plurality of electrodes (Fig. 5, element 54; Column 3, line 11 and 24-30) connected to the thin film resistive element,
- wherein the thin film resistive element is coplanar (Column 5, lines 45-52) with at least two of the plurality of electrodes at the surface in contact with the ink;
- wherein the ink filled in the plurality of individual flow channels in the vicinity of the plurality of nozzles is ejected as ink droplets (Fig. 3c, element 32) from the plurality of nozzles through expansion of bubbles (Fig. 3c, element 30) formed in the ink with heat energy from the thin film resistive element, and

Art Unit: 2853

- the bubbles formed in the plurality of individual flow channels are connected to atmospheric air through the plurality of nozzles (Column 4, lines 39-56),
- wherein the bubbles (Fig. 3c, element 30) are connected to the atmospheric air at substantially the same time as the ejection of the ink droplets (Fig. 3c, element 32; i.e. vapor bubble vents to atmosphere).

However, the primary reference of Weber fails to disclose

- a Ta-Si-O ternary thin film resistive element, and
- the plurality of nozzles are arranged in an arranging direction thereof perpendicular to a head moving direction, which is a direction along which the ink-jet recording head is moved upon recording on a recording materials and
- a pitch of the plurality of nozzles along the arranging direction is a length corresponding to a resolution of from 800 to 1,600 dpi;
- the ink-jet recording apparatus also comprising: a head driving unit that drives the ink-jet recording head along a head moving direction; and
- a conveying unit that conveys a recording material along the arranging direction of the plurality of nozzles relative to the ink-jet recording head.

The secondary reference of Mitani discloses an ink jet recording head where the thin film resistor (element 3) is Ta-Si-O (Column 6, lines 49-49).

At the time of the invention, it would have been obvious to one of ordinary skill in the art to use the Ta-Si-O thin film resistor disclosed by Mitani for the heating element (Fig. 3a, element 28) disclosed by Weber. The motivation for doing so would have been "the life of each Ta--Si--O ternary alloy thin-film heater 3 will not be shortened by

Art Unit: 2853

galvanization. Because the oxidized portion 4 is extremely thin, heat is transferred to the ink 8 equally as well as with the case where the heater 3 is not provided with the oxidized portion 4" (Column 6, lines 55-60).

The tertiary reference of Prasad discloses:

- the plurality of nozzles (Fig. 7a, elements 703, 705, 707) are arranged in an arranging direction thereof perpendicular to a head moving direction, which is a direction along which the ink-jet recording head (Fig. 2, element 109; Column 5, line 60, i.e. print heads are included in the carriage) is moved upon recording on a recording materials (Fig.2, element 105); and
- a pitch of the plurality of nozzles along the arranging direction is a length corresponding to a resolution of from 800 to 1,600 dpi (Column 9, lines 54-59);
- the ink-jet recording apparatus also comprising:
- a head-driving unit (Fig. 2, element 211) that drives the ink-jet recording head along a head moving direction; and
- a conveying unit (Fig. 2, element 209) that conveys a recording material along the arranging direction of the plurality of nozzles relative to the ink-jet recording head (Figs. 1 and 2).

At the time of the invention, it would have been obvious to one of ordinary skill in the art to use the teachings of the high drop generator density printhead disclosed by the Prasad in the ink jet recording head of Weber. The motivation for doing so would have been to enable "compact printheads with high-density drop generators and high printing

Art Unit: 2853

throughput but without excessive heat generation within the printhead" (Column 3, lines 60-64).

### ***Response to Arguments***

Applicant's arguments filed 5 October 2006 have been fully considered but they are not persuasive.

1. The applicant's request for a telephonic interview is noted, however the examiner reminds applicant that an attorney of record should initiate a request for an interview by calling the examiner and specifically, an "Applicant Initiated Interview Request" form (PTOL-413A) should be submitted to the examiner prior to scheduling of the interview in order to permit the examiner to prepare in advance for the interview and to focus on the issues to be discussed" (MPEP 713.01).

2. In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

3. The applicant's argument that "any assertion that Weber discloses or suggests a thin film resistive element that is coplanar with at least two of the plurality of electrodes at the surface in contact with the ink is mere speculation. At best, Weber is silent with respect to this feature", is not persuasive. As stated in the final rejection Weber discloses a printhead for ejecting fluid droplets where "each resistor 28 contacts at

opposing sides of the resistor a conductive trace 54" (Column 5, lines 48-49).

Therefore, Weber meets the structural limitation "coplanar".

4. The applicant's argument that "In addition, Mitani et al. discloses a thin film resistor 3 formed on an insulation layer 6 and an insulation wall 7. However, the thin film nickel conductor 5 is not coplanar with the thin film resistor 3 as shown by FIG. 2. Accordingly, Weber fails to teach or suggest each and every feature of claims 1 and 11. Moreover, none of Mitani et al., Prasad et al., Bohorquez et al., Deshpande et al., Inui et al. and Hirasawa et al. cure this deficiency in Weber", is not persuasive. However, as stated in the final rejection, the examiner uses the teachings of Mitani to disclose the benefits of a Ta-Si-O ternary alloy material used as a thin film heater in an ink jet printer. Therefore, Weber, in view of the combined references, met the claimed limitations.

### ***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

Art Unit: 2853

the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Geoffrey Mruk whose telephone number is 571 272-2810. The examiner can normally be reached on 7am - 330pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Meier can be reached on 571 272-2149. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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11/3/2006

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